

# Precompetitive Data Sharing as a Catalyst to Address Unmet Needs in Parkinson's Disease<sup>1</sup>

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<sup>1</sup>Summary of Parkinson's UK, London Workshop, co-organized by the Coalition Against Major Diseases (CAMD)

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**Abstract.** Parkinson's disease is a complex heterogeneous disorder with urgent need for disease modifying therapies. Progress in successful therapeutic approaches for PD will require an unprecedented level of collaboration. At a workshop hosted by Parkinson's UK and co-organized by the Coalition Against Major Diseases (CAMD), investigators from industry, academia, government and regulatory agencies agreed on the need for sharing of data to enable future success. Government agencies included EMA, FDA, NINDS/NIH and IMI (Innovative Medicines Initiative). Emerging discoveries in new biomarkers and genetic endophenotypes are contributing to our understanding of the underlying pathophysiology of PD. In parallel there is growing recognition that early intervention will be key for successful treatments aimed at disease modification. At present, there is a lack of a comprehensive understanding of disease progression and the many factors that contribute to disease progression heterogeneity. Novel therapeutic targets and trial designs that incorporate existing and new biomarkers to evaluate drug effects independently and in combination are required. The integration of large clinical data sets is viewed as a powerful approach to hasten medical discovery and therapies, as is being realized across diverse disease conditions employing big data analytics for healthcare. The application of lessons learned from parallel efforts is critical to identify barriers and enable a viable path forward. A roadmap is presented for a regulatory, academic, industry and advocacy driven integrated initiative that aims to facilitate and streamline new drug trials and registrations in Parkinson's disease.

Keywords: Data standards, privacy, data integration, collaboration, quantitative disease progression, regulatory science

### ABBREVIATIONS

CamPaIGN	Cambridgeshire Parkinson's Incidence from GP to Neurologist	CDISC	Clinical Data Interchange Standards Consortium
OxfordPD	The Oxford Parkinson's Disease Centre (OPDC) Discovery cohort	CHET	Center for Human Experimental Therapeutics
TrackingPD	Parkinson's Repository of ProBaND	CODR	C-Path Online Data Repository
PPMI	Parkinson's Progression Marker Initiative	C-Path	Critical Path Institute
ADAGIO	Attenuation of Disease progression with Azilect Given Once Daily	CSF	Cerebrospinal Fluid
DATATOP	Deprenyl and tocopherol antioxidative therapy of parkinsonism)	DESCRIPA	Development of Screening Guidelines and Criteria for Predementia Alzheimer's disease
PRECEPT	(Parkinson Research Examination of CEP-1347 Trial)	DMR	Data Management Resource
SWEDD	Scans without evidence of dopamine deficiency	DPUK	Dementias Platform UK
		DTI/RS MRI	Diffusion Tensor Imaging/Resting State MRI
		EMA	European Medicines Agency
		EMIF	European Medical Information Framework
		ET	Essential Tremor
		FDA	US Food and Drug Administration
		GAAIN	Global Alzheimer's Association Interactive Network

### GLOSSARY OF TERMS

PD	Parkinson's disease	ICICLE-PD	Incidence of Cognitive Impairment in Cohorts with Longitudinal Evaluation PD
ACE-R	Addenbrooke's Cognitive Examination Revised	IDA	Imaging Data Archive
AD	Alzheimer's disease	IMI	Innovative Medicines Initiative
ADAGIO	Attenuation of Disease Progression with Azilect Given Once-daily	IRB	Institutional Review Board
ADNI	Alzheimer's Disease Neuroimaging Initiative	LONI	Laboratory of Neuro Imaging
ALS	Amyotrophic Lateral Sclerosis	MDS-UPDRS	Movement Disorders Society-UPDRS
CAMD	Coalition Against Major Diseases	MMSE	Mini-Mental State Examination
CamPaIGN	Cambridgeshire Parkinson's Incidence from GP to Neurologist	MRI	Magnetic Resonance Imaging
CDE	Common Data Elements	MSA	Multisystem Atrophy
		MSOAC	Multiple Sclerosis Outcomes Assessment Consortium

NET-PD	NIH Exploratory Trials in PD
NIH	National Institute of Health
NINDS	National Institute on Neurologic Disorders and Stroke
OPDC	Oxford Parkinson's Disease Centre
Parkinson's UK	Parkinson's United Kingdom
PDPB	Parkinson's disease Biomarkers Program
PICNICS	Parkinsonism: Incidence and Cognitive Heterogeneity in Cambridgeshire
PPMI	Parkinson Progression Marker Initiative
PRECEPT	Parkinson Research Examination of CEP-1347 Trial
PRO-ACT	Pooled Resource Open-Access ALS Clinical Trials Database
ProBaND	Parkinson's Repository of Biosamples and Networked Datasets
PSG	Parkinson's Study Group
PSP	Progressive Supranuclear Palsy
SPECT	Single-photon Emission Computed Tomography
SWEDD	Scans Without Evidence of Dopaminergic Deficit
UPDRS	Unified Parkinson's Disease Rating Scale
THIN	The Health Improvement Network

changes including rapid eye movement (REM) sleep behavioral disturbances, GI disturbances and olfactory deficits.

A major challenge is our lack of understanding of disease progression and heterogeneity. Despite the many disease modifying therapies tested to date, there is a lack of retrospective learning from these costly clinical trials. For example, analysis of the failed PRECEPT study [5] illustrates that many subjects who were enrolled did not have evidence of dopaminergic deficit or disease progression and thus were unlikely to have PD. At present, the field lacks a comprehensive, standardized and integrated database of relevant longitudinal studies and clinical trials in PD.

Big data analytics in healthcare has evolved significantly as an innovative approach for providing insight from very large data sets with the goal of improving patient outcomes in clinical practice and drug development. Other diseases have undergone efforts to standardize and integrate relevant data, which have advanced therapeutic trial designs and enabled model-based drug development and personalized medicine strategies. Examples include: from the oncology field, DataSphere [6], from Amyotrophic Lateral Sclerosis (ALS), the PRO-ACT database [7, 8], from Alzheimer's disease, The Critical Path Institute (C-Path) Online Data Repository (CODR) [9]; and from Multiple Sclerosis, the Multiple Sclerosis Outcomes Assessment Consortium (MSOAC) database [10]. PD stands to benefit from applying such learnings, particularly given the advances in our understanding of these diseases and vast amounts of data not presently available for broad use.

The future of open science is catalyzed by the expanding landscape of precompetitive collaborative consortia. The term 'precompetitive' refers to collaboration on projects of mutual benefit between diverse stakeholders, including industry partners that may produce competing goods or commercial products later in the R&D process. Precompetitive collaboration improves the prospects of all stakeholders relative to common challenges they face. It can also benefit the public by reducing duplication of effort, increasing the effectiveness of R&D investments, and establishing common standards and resources. Consortia and precompetitive collaborations are highlighted in the recent Nature Reviews Drug Discovery issue dedicated to US precompetitive consortia [11] (<http://www.nature.com/nrd/focus/consortia/index.html>) and are embraced in Europe through the Innovative Medicines Initiative (IMI) [12, 13] with endorsement of public private partnerships [14].

## INTRODUCTION

Parkinson's disease (PD) is the second most common neurodegenerative disease after Alzheimer's disease (AD) [1]. Primarily a disease of adults over the age of 60 who may also have other comorbidities, about 4% of cases begin prior to the age of 50 [2].

Therapeutic goals for PD are based on symptomatic relief, but halting or slowing the neurodegenerative process and the prevention of long-term adverse outcomes represent urgent unmet needs. Available dopamine-based therapeutic strategies achieve the reduction of motor symptoms, but do not significantly impact on the numerous non-motor manifestations of PD [3]. Fluctuation phenomena and dyskinesia remain a challenge, particularly in long-term treatment [4]. It is now recognized that the first signs of motor impairment in PD (early motor PD) is preceded for many years by pathologic changes (reduced dopamine nerve terminal function) and a variety of other biomarker

To begin developing a strategic framework focused on data sharing as the path towards successful drug development in Parkinson's disease, Parkinson's United Kingdom (Parkinson's UK) convened a meeting in London in May, 2014. Diverse stakeholders included representatives from academia, patient advocacy and charitable organizations, government agencies, regulatory agencies and precompetitive consortia. The goals of this meeting were to identify questions that, if answered, could tangibly impact PD drug development, explore how existing data might provide answers to those questions, and identify the barriers to using those data, with the goal of mapping out a path forward. In parallel with the above efforts, notably, government agencies have also initiated development of strategic recommendations that are desperately needed to fill the gaps in PD research and drug development. For example, in January, 2014 the National Institute on Neurologic Disorders and Stroke (NINDS) convened an expert meeting aimed at building consensus on research priorities for PD across basic, clinical, and translational domains [15]. Big data was a key area of focus and a strategic priority for the future. Recognizing that pooling and sharing data is a costly endeavor that requires collaboration from diverse stakeholders {e.g., [16]}, workshop participants addressed impediments to data sharing and potential solutions that have gained traction in other fields. They also considered new data sources including data collected from personal- and home-monitoring devices, which may provide relevant measures of the functional impairments associated with PD. Finally, they mapped out plans for using these data to build quantitative drug development tools through regulatory paths to increase the efficiency of clinical trials. The following themes were identified as gaps in the field and areas of focus during the Parkinson's UK/Coalition Against Major Diseases (CAMD) two-day workshop:

- The need for regulatory approved endpoints, trial designs, and modeling tools.
- Identification of indicators of very early disease state markers to foster development of pre-symptomatic and potential neuroprotective or neuromodulatory treatments.
- Development of reliable biomarkers to monitor disease progression, particularly to assess agents that may modify the course of the disease.
- Understanding disease subtypes to enable the stratification of patients to allow for more efficient clinical trials.

## REVIEW OF DATA SOURCES (SEE TABLE 1)

Information that could provide greater understanding of how PD progresses across the trajectory of the disease lies in large datasets collected in clinical and observational studies over the past decades. Unfortunately, these datasets are inherently complex in nature and have been largely inaccessible to researchers, thereby limiting innovative analyses and generation of new knowledge. Workshop participants thus prioritized efforts to obtain access to and develop a means of aggregating, comparing, and analyzing such data. Existing datasets held by pharmaceutical companies as well as academic research groups were targeted for inclusion with the aim of enabling data-sharing of clinical, imaging, and biomarker data. Other potential data sources include electronic medical records, claims data from public and private payers. Notably, the intent of this meeting was not to catalogue and inventory all possible sources of PD data globally. Rather, datasets owned by meeting participants that may be available for sharing were described and discussed collectively, with the common goal of integration for the future.

### *Pharmaceutical clinical data, Teva Pharmaceuticals*

Teva Pharmaceuticals plans to release treatment and control arm data from two clinical trials: PRECEPT, a trial of the kinase inhibitor CEP-1347, which enrolled 806 patients with early PD [17]; and ADAGIO (Attenuation of Disease progression with Azilect Given Once Daily), a trial of rasagiline in early idiopathic PD that enrolled 1176 participants [18]. Outcome measures in PRECEPT included the Unified Parkinson's Disease Rating Scale (UPDRS) and  $\beta$ -CIT SPECT imaging of the dopamine transporter [5]. The trial terminated early when pre-specified endpoints for futility were reached; but the sponsor continued to follow subjects with biomarker studies in the PostCEPT observational study, now the largest cohort of PD patients actively followed in North America. PostCEPT provided blood samples for genetic studies and cell line repository and conducted a substudy of  $\alpha$ -synuclein and RNA biomarkers. The ADAGIO study is a large randomized clinical trial evaluating the effects of rasagiline in a delayed start design. These data, available to the CAMD Consortium, will support development of biomarkers and innovative clinical trial modeling tools for paving the regulatory route for Parkinson's treatment.

Table 1  
Sources of Parkinson's disease clinical data for integration and future analyses

Study	Type of study	Number of patients	Duration of study (if longitudinal)	Reason for cohort (drug trial/cohort study, other)	Study ongoing (yes/no)	Assessments	Tissue sample available (serum, plasma, CSF etc.)	Genotyped	Scanning (MRI, PET etc)	Other
ICICLE	Longitudinal (predicting dementia)	160	8 years	Predicting dementia	Yes	UPDRS, motor, non-motor, cognitive decline	Serum, CSF, DNA, RNA	Yes	MRI baseline & 18mo & FDG PET in ~45	Gait & sleep data
CamPaIGN	Longitudinal (from time of diagnosis)	142 (diagnosed between 2000–2002)	13–15 years	Community-based incidence cohort	Yes	UPDRS, motor, non-motor, cognitive decline	No	Yes ( <i>n</i> = 129) (MAPT H1 vs H2, COMT val(158)met, SNCA, APOE, MAOA), DNA stored	No	Neuropsychologic, mood, function, quality of life
PICNICS	Longitudinal (from time of diagnosis)	286 (diagnosed Dec 2007– June 2013)	2–7 years	Community-based cohort study	Yes	UPDRS, motor, non-motor, cognitive decline	Plasma and serum ( <i>n</i> = 98), CSF ( <i>n</i> = 11)	Yes ( <i>n</i> = 276) (MAPT H1 vs H2, COMT Val158Met, SNCA, BuChE, ApoE), DNA stored	Yes ( <i>n</i> = 48)	Neuropsychologic, mood, function, quality of life
Tracking Parkinson's	Longitudinal (from time of diagnosis for PD)	3000 (2000 patients within 3 yrs of diagnosis, 240 young onset and 760 relatives)	3–5 years	Community-based cohort study	Yes	UPDRS, motor, non-motor, cognitive decline	Serum	Yes, LRRK2 and GBA (all subjects) and Parkin and PINK1 (young onset)	Sub-study in 4–5 centres	Olfactory function, Sleep, Autonomic function, Quality of life, Environmental exposures
OPDC Discovery cohort	Longitudinal (within 3 years of diagnosis)	1650 (1100 PD patients within 3 yrs of diagnosis; 100 PD relative early stage; 150 prodromal RBD; 300 control)	10 years	Community-based cohort study	Yes	UPDRS I-IV, motor, non-motor, cognitive decline	Serum and DNS in all. Plasma, CSF, G.I biopsy tissue, skin in subgroup	Yes ( <i>n</i> = 869) SNP analysis, DNA stored. 250 whole exome analysis.	MRI (structural and functional) in 80 PD, 30 controls, 25 RBD subjects	Olfactory function, Objective motor testing (android phone app test, saccadometry)
TEVA-PRECEPT	Longitudinal	806 early PD	Terminated early (average of 21.4 months follow-up)	Clinical trial	No	UPDRS, cognition, depression, quality of life	No	No	Beta-CIT SPECT imaging	
TEVA-ADAGIO	Longitudinal	1176 early PD	72 weeks	Delayed start clinical trial	No	UPDRS	No	No	Beta-CIT SPECT imaging	Rasagiline as a disease-modifying therapy in PD
PostCept (and LABS-PD)	Longitudinal	709 subjects from PRECEPT enrolled into PostCEPT and LABS-PD	Ongoing since 2008	Population-based study	Yes	UPDRS, quality of life, cognition	Serum, blood biomarkers (alpha-synuclein, proteomics)	yes (DNA banking)	Beta-CIT SPECT imaging, DAT imaging	PostCEPT rolled into LABS-PD (see ref)

Study	Type of study	Number of patients	Duration of study (if longitudinal)	Reason for cohort (drug trial/cohort study, other)	Study ongoing (yes/no)	Assessments	Tissue sample available (serum, plasma, CSF etc.)	Genotyped	Scanning (MRI, PET etc)	Other
Parkinson Progression Marker Initiative (PPMI) Biomarker Study	Longitudinal (from time of diagnosis)	400 newly diagnosed PD, 200 controls, 64 SWEDD, 100 prodromal, 600 genetic registry participants	Ongoing since 2010	Community-based cohort study	Yes	UPDRS-III, motor, non-motor, cognitive decline MDS-UPDRS	DNA, RNA, serum, plasma, urine, CSF	Yes (ApoE and selected SNPs)	MRI, DAT, PET ([18F] florbetaben) CT (some sites)	
DATATOP	Longitudinal	800	8 years	Clinical trial	No	UPDRS, cognition, depression, quality of life	Serum, urine, CSF, DNA	Yes by requesting for access to biospecimen repository	No	Video repository
CALM-PD	Longitudinal	301	2–4 years	Clinical trial	No	dopaminergic motor complication, UPDRS, quality of life, MMSE	No	No	Beta-CIT SPECT imaging	Health care utilization
TEMPO	Longitudinal	404 (early PD)	1 year	Clinical trial	No	UPDRS, quality of life, MMSE, depression	No	No	No	Rasagiline pharmacokinetics, platelet MAO-B activity
ELLDOPA	Longitudinal	361	42–44 weeks	Clinical trial	No	UPDRS, quality of life, MMSE, Hamilton depression	No	No	Beta-CIT Spect imaging (select subjects)	Video repository
PRESTO	Longitudinal	472 (advanced PD)	6 months	Clinical trial	No	UPDRS, “on-off” diaries, quality of life, MMSE	No	No	No	Rasagiline pharmacokinetics, platelet MAO-B activity
The National Institute of Neurological Disorders and Stroke (NINDS) Parkinson's Disease Biomarker Program (PDBP).	439 Cross sectional, 825 Longitudinal (3–5 years)	748 PD, 386 control, 50 Multisystem Atrophy, 50 Progressive Supranuclear Palsy, 30 Essential Tremor	3-5 years	Community-based cohort study	Yes	MDS-UPDRS, motor, non-motor, cognitive decline	CSF (269), plasma (674), serum (775), RNA (1,234), DNA (1,191)	Yes, NeuroX chip	MRI (290), DTI (440), fMRI (150)	Gait (120), biosample QC (hemoglobin analysis for plasma, serum and CSF), quality of life

The studies in this table represent the candidate PD clinical studies that were described at the PD data sharing consensus conference as potential sources of data for standardization, integration and future analyses by principle investigators and meeting participants. This is not a comprehensive list of all possible PD studies yet provided a framework for the stakeholders and potential roadmap (Fig. 1).

209 *The Oxford Parkinson's Disease Centre (OPDC)*  
 210 *Discovery cohort* (<http://opdc.medsci.ox.ac.uk>) was  
 211 established in 2009 with funding from Parkinson's UK  
 212 as a longitudinal study of 1000 early stage, population-  
 213 ascertained PD subjects recruited from the Thames  
 214 Valley, UK. Its primary goal is to investigate the earli-  
 215 est genetic, molecular, cellular, and neuronal pathways  
 216 affected in PD, and to identify novel biomarkers for  
 217 early diagnosis and prognostication. Data and tissue  
 218 collected from OPDC subjects includes a wide range  
 219 of clinical motor, non-motor, and cognitive assess-  
 220 ments, serum, plasma, DNA and CSF samples, skin  
 221 biopsies with induced pluripotent stem cell generation,  
 222 and MRI brain studies [19–21]. Three hundred control  
 223 and 170 PD at-risk subjects have been recruited to the  
 224 Discovery cohort thus far, with 18-month longitudinal  
 225 follow-up now ongoing and guaranteed for a 10-year  
 226 observation period in the PD and PD at-risk groups.

227 *The Tracking Parkinson's Study - Parkinson's*  
 228 *Repository of Biosamples and Networked Datasets*  
 229 *(PRoBaND)*

230 PRoBaND has enrolled 2000 subjects with recent  
 231 onset, including 240 young onset patients, and is  
 232 enrolling 840 unaffected siblings from 60 active recruit-  
 233 ing centers (<http://proband.org.uk/>). In addition to  
 234 clinical, demographic, and genetic data, PRoBaND has  
 235 collected data on cognition, olfactory function, sleep,  
 236 autonomic function, quality of life, and environmen-  
 237 tal exposures. Investigators will be asking multiple  
 238 research questions, including comparing young and  
 239 recent onset PD (e.g., progression, response to therapy),  
 240 definition of subtypes (including genetics), and the rela-  
 241 tionship between non-motor and motor features.

242 *Cambridgeshire Parkinson's Incidence from GP to*  
 243 *Neurologist (CamPaIGN)*

244 The CamPaIGN study collected a population-based  
 245 cohort of newly diagnosed PD cases in the county of  
 246 Cambridgeshire, UK over two-year period (Dec 2000-  
 247 Dec 2002), in order to estimate the incidence of PD  
 248 and parkinsonism in this region, and to characterize  
 249 the frequency and pattern of cognitive impairment in  
 250 a population-representative incident PD cohort [22].  
 251 The cohort has since been prospectively followed,  
 252 with 10-year data recently published [23]. Longitu-  
 253 dinal assessments have included standardized motor  
 254 assessments such as the UPDRS and Hoehn and Yahr  
 255 scale, neuropsychological assessments, and standard-  
 256 ized measures of mood, function and quality of life.

DNA samples have also been collected. The cohort com-  
 257 prises 142 cases meeting UKPDS Brain Bank criteria for  
 258 PD. Following attrition due to death and loss to follow-  
 259 up, 49 remained at the 10-year timepoint. Follow-up is  
 260 ongoing, with 12-year data currently being collected.  
 261

262 *Parkinsonism: Incidence and Cognitive*  
 263 *Heterogeneity in Cambridgeshire (PICNICS)*

264 PICNICS is a sequential comparative incidence  
 265 study in the same Cambridgeshire population. Dur-  
 266 ing an extended recruitment period (2008–2013), 286  
 267 cases meeting UKPDS Brain Bank criteria idiopathic  
 268 PD were enrolled. Patients are being followed up at  
 269 18-month intervals with a more extended panel of  
 270 assessments than the CamPaIGN cohort, including the  
 271 revised Movement Disorders Society-UPDRS (MDS-  
 272 UPDRS) [24] and incorporating the Addenbrooke's  
 273 Cognitive Examination Revised (ACE-R) into the cog-  
 274 nitive battery [25]. A subgroup of PICNICS subjects  
 275 are also enrolled in the Incidence of Cognitive Impair-  
 276 ment in Cohorts with Longitudinal Evaluation PD  
 277 (ICICLE-PD) study, which incorporates a number  
 278 of additional non-motor questionnaires, more exten-  
 279 sive neuropsychological testing, saccadometry, and  
 280 biomarker analysis [26]. The maximum duration of  
 281 follow-up of the PICNICS cohort is 72 months at the  
 282 time of this meeting.

283 *The Parkinson Progression Marker Initiative*  
 284 *(PPMI) biomarker study*

285 PPMI mimics the landmark Alzheimer's Disease  
 286 Neuroimaging Initiative (ADNI) in terms of a focus  
 287 on standardizing protocols and providing the research  
 288 community with open access to data and biosamples.  
 289 At the time of the London meeting, over 180,000 data  
 290 downloads and over 40 biological specimens had been  
 291 requested through the ADNI. Data collected through  
 292 the PPMI include clinical (motor and non-motor, neu-  
 293 robehavioral/cognitive, autonomic, olfaction, sleep),  
 294 imaging (DaTscan, AV133, amyloid, DTI/RS MRI),  
 295 and corresponding biological samples (DNA, blood,  
 296 CSF). The PPMI study population originally included  
 297 400 newly diagnosed and unmedicated PD subjects  
 298 as well as 200 age- and gender-matched healthy con-  
 299 trols, and 70 subjects with a clinical diagnosis of  
 300 PD but without evidence of dopaminergic deficiency  
 301 (SWEDD) by dopamine transporter SPECT imaging  
 302 [27]. Subsequently, three other subgroups were added:  
 303 100 pre-motor, 500 subjects with LRRK2 mutations,  
 304 and 100 with  $\alpha$ -synuclein mutations (50 with PD and

305 50 unaffected family members). There are also future  
 306 plans to incorporate novel data sources that include  
 307 wearable sensors in PPMI.

308 *Parkinson's Study Group (PSG) and the University*  
 309 *of Rochester Center for Human Therapeutics*  
 310 *(CHET)*

311 PSG is a network of 132 Parkinson centers in the  
 312 United States, Canada and Puerto Rico, created to  
 313 conduct clinical trials in a consistently rigorous man-  
 314 ner. PSG conducted the clinical trials that led to the  
 315 approval of five PD marketed drugs, as well as many  
 316 other trials conducted through the NIH Exploratory  
 317 Trials in PD (NET-PD). Data from PSG, NET-PD, and  
 318 the PPMI studies are managed by the Center for Human  
 319 Experimental Therapeutics (CHET) at the University  
 320 of Rochester. The CHET coordinating center currently  
 321 houses data from over 40 PD clinical studies enrolling  
 322 7000 PD participants as well as from observational  
 323 studies, including data from physician-rated clinical  
 324 scales such as the UPDRS, Mini-Mental State Exami-  
 325 nation (MMSE) and the Beck Depression Inventory, as  
 326 well as patient-reported outcomes data, imaging, labo-  
 327 ratory and biomarkers, genetics, and demographics.

328 The PSG hosts a list of data on the website, a short  
 329 narrative about what the study covers, and guidance on  
 330 how to access the data ([http://www.parkinson-study-  
 331 group.org/](http://www.parkinson-study-group.org/)). The review process is coordinated by the  
 332 Michael J Fox Foundation and any researcher can  
 333 apply. There have been over 200 publications result-  
 334 ing from the use of these data to date and future use  
 335 is encouraged, especially for modeling disease pro-  
 336 gression. Data used in modeling is only about 20% of  
 337 the data available through the PSG, but additional data  
 338 sources are relevant for this purpose (Table 1). Mod-  
 339 eling and simulation are a key part of learning and  
 340 confirming drugs, doses and outcomes and should be  
 341 used to improve the design of clinical trials.

342 *The National Institute of Neurological Disorders*  
 343 *and Stroke (NINDS) Parkinson's Disease*  
 344 *Biomarker Program (PDBP).*

345 In November of 2012, NINDS launched a com-  
 346 prehensive PD biomarker initiative that focuses on  
 347 standardized protocols for biospecimen collection  
 348 (DNA, RNA, blood, and CSF) and longitudinal clin-  
 349 ical assessments (motor/non-motor, neurobehavioral,  
 350 cognitive, sleep, olfaction, family history and medica-  
 351 tions) for PD participants across the disease spectrum.  
 352 A standard set of clinical assessments and biosample  
 353 collections are made at 6 and 12-month intervals. As of

354 May 2014, clinical, genetic and biomarker data from  
 355 618 cases and 310 age- and gender-matched healthy  
 356 controls were broadly available to the research com-  
 357 munity through the PDPB data management resource  
 358 (DMR). A subset of participants (290) will also  
 359 undergo MRI and 150 participants will undergo DTI  
 360 and fMRI analysis. Unique to the PDBP program is a  
 361 subset of participants who have been diagnosed with  
 362 Multisystem Atrophy (50 MSA), Progressive Supranu-  
 363 clear Palsy (50 PSP), and Essential Tremor (30 ET).  
 364 The overall target enrollment for the PDBP initiative  
 365 is 1500.

366 The PDBP DMR is the first database for Parkin-  
 367 son's disease that brings together disparate types of  
 368 datasets (clinical, genetic, imaging and biomarker) and  
 369 biorepository information under one data resource for  
 370 querying and downloading of data and requesting of  
 371 related biosamples. Like ADNI and PPMI, the PDBP  
 372 also shares data internationally with academic and  
 373 industry investigators. As of May 2014, more than  
 374 1000 data downloads and over 1500 biosamples had  
 375 been requested.

376 *Other potential data sources*

377 Understanding PD progression will require integra-  
 378 tion of data not just globally, but also from more het-  
 379 erogeneous sources, including asymptomatic cohorts  
 380 such as PREDICT-PD in the UK [28], EPIPARK in Ger-  
 381 many [29], and the Honolulu Aging Study [30]; primary  
 382 and secondary care datasets (e.g., electronic medical  
 383 records); and collections of biological specimens. In the  
 384 UK, the Dementias Platform UK is aggregating data  
 385 from up to two million participants from existing cohort  
 386 studies, is establishing a network of access to electronic  
 387 medical records, and is enhancing UK Biobank with  
 388 very large programs of neuroimaging and biomarker  
 389 studies together with outcome data collected from med-  
 390 ical records. As the primary purpose of the Dementias  
 391 Platform UK (DPUK) will be to identify risk factors  
 392 and biomarkers and to enable and conduct experimental  
 393 medicine in primary dementia conditions, diseases with  
 394 dementia as a component such as PD and other neurode-  
 395 generative disorders will be within sight of the DPUK  
 396 objectives. Data from consumer technologies such as  
 397 smartphones and wearable devices can capture infor-  
 398 mation about motor symptoms, sleep patterns, and other  
 399 functional behavioral aspects that may reflect early signs  
 400 of PD. Such data includes that recorded in the John Hop-  
 401 kins pilot study [31], the Oxford OPDC cohort, and as  
 402 part of UK Biobank. Other datasets from investigations  
 403 of exposure to pesticides or other environmental risk  
 404 factors could also be useful.



## 405 DATA TRANSFERABILITY, 406 STANDARDIZATION AND INTEGRATION

407 While data sharing is widely acknowledged across  
408 stakeholders as essential to scientific progress includ-  
409 ing the development of new treatments for diseases  
410 such as PD, technical and cultural roadblocks have  
411 limited our ability to exploit the potential of these  
412 vast and growing data resources. The main roadblocks  
413 discussed were: data transferability, remote data acces-  
414 sibility and privacy/consent issues, data remapping to  
415 comprehensive standards, and data integration. Over  
416 the past decade, data sharing models have emerged that  
417 have begun to break down some of the barriers [16].

418 *ADNI*, a public-private partnership created in 2003 to  
419 expedite drug development by standardizing and vali-  
420 dating imaging and other biomarkers for Alzheimer's  
421 disease. ADNI provides researchers with open access  
422 to raw and processed imaging, clinical, genomic,  
423 and biomarker data through the Laboratory of Neuro  
424 Imaging (LONI) Imaging Data Archive (IDA) at the  
425 University of Southern California. Open access has  
426 resulted in seven million data downloads and 500 peer-  
427 reviewed articles from researchers around the world  
428 [32]. However, with the increasing number of sophis-  
429 ticated imaging protocols and the increased use of  
430 genome sequencing, the amount of data collected has  
431 increased exponentially, such that it has become imprac-  
432 tical to house all data at one site. The Global Alzheimer's  
433 Association Interactive Network (GAAIN) is a feder-  
434 ated cloud-enabled platform for sharing and providing  
435 access to data analytic tools ([www.gaain.org](http://www.gaain.org)). GAAIN  
436 now has many contributors, including ADNI.

437 Lessons from ADNI relevant to the PD initiative  
438 include the need to define the key scientific questions  
439 to be answered, standardize data collection protocols,  
440 build tools that are sophisticated and matched to the  
441 data collected, maintain open communication with the  
442 research community about their needs to predict future  
443 needs; and anticipate what informatics tools/resources  
444 will be needed to address new research questions. Sev-  
445 eral platforms and networks recently established or in  
446 development are positioned to address some of these  
447 issues:

- 448 • *The MRC Dementias Platform UK (DPUK)* plans  
449 to bring together 22 cohorts from across the UK,  
450 including the UK Biobank, integrated into a single  
451 informatics platform. DPUK also plans to develop  
452 a readiness cohort with baseline imaging data as  
453 well as amyloid, genetics discovery, and -omics  
454 discovery cohorts.

- 455 • *The European Medical Information Framework*  
456 (*EMIF*) is a five-year IMI with 56 partners in 14  
457 European countries, established to make datasets  
458 visible to researchers, to integrate research  
459 cohorts for combined analysis, and to enable  
460 re-use of medical and other data for research. IMI-  
461 EMIF is establishing three broad approaches to  
462 data reutilizing and sharing. Firstly, to make data  
463 visible and potentially utilizable by researchers, it  
464 has established a browser for meta-data or descrip-  
465 tions of data types and rules of engagement across  
466 cohorts with up to 50,000 or more participants  
467 in Europe. Secondly, the program has begun to  
468 harmonize data across diverse cohorts to gener-  
469 ate meta-cohorts for combined analysis. Thirdly,  
470 EMIF is establishing safe and secure platforms,  
471 respecting local legislative, ethical and other data-  
472 governance models, which enable networked or  
473 distributed analysis of very large datasets includ-  
474 ing a total of more than 50 million persons. The  
475 primary datasets include deliberately different  
476 types of studies and data sources to establish scal-  
477 able solutions for data access and analysis. These  
478 data sources include made-for-purpose, ADNI-  
479 like biomarker studies such as AddNeuroMed  
480 and DESCRIPA, large population-based epidemi-  
481 ological cohorts now re-purposed or enhanced  
482 for neurodegenerative or metabolic disease pur-  
483 poses, and very large electronic medical records  
484 databases such as The Health Improvement Net-  
485 work (THIN), a UK dataset covering a total of  
486 nearly four million active patients from more than  
487 500 primary care physicians.
- 488 • *Sage Bionetworks*, a nonprofit biomedical  
489 research organization, aims to create globally  
490 integrated, open-source systems that will enable  
491 investigators to leverage multi-dimensional data  
492 to distill meaningful information related to  
493 human health and disease. Sage is a partner in  
494 the Common Mind Consortium, which generates  
495 disease models for neuropsychiatric disease  
496 by bringing together large, well curated brain  
497 samples and data management and analysis  
498 expertise to enable integrated analysis of molec-  
499 ular, genomic, and disease data. In partnership  
500 with the Global CEO Initiative on Alzheimer's  
501 disease, Sage has also launched big data chal-  
502 lenges to the AD research community, seeking  
503 to leverage open source biomarker, cognitive,  
504 genetic, and demographic data from ADNI, Rush  
505 University Medical Center, and the European  
506 AddNeuroMed study to create a roadmap of AD

507 predictive biomarkers. In the PD arena, Sage  
508 is partnering with the patient network Patients-  
509 LikeMe (<http://www.patientslikeme.com>) to  
510 combine patient-reported information with data  
511 collected from the phone-based voice recordings.  
512 The goal is to identify PD-related voice impair-  
513 ments that may be useful in tracking disease  
514 progression and response to therapy.

515 Integrating data across diverse domains and data  
516 generators requires the application of commonly used  
517 data standards. In support of the PDBP, NINDS devel-  
518 oped a set of common data elements (CDEs) in 2010  
519 for use in the PDBP data repository, along with a data  
520 dictionary and analytic tools, to enable data compari-  
521 son and meta-analyses across studies. NINDS plans to  
522 capture legacy data from the Morris K. Udall Centers  
523 of Excellence for Parkinson's Disease and NINDS-  
524 sponsored PD clinical trials.

525 C-Path and NINDS worked with industry partners  
526 and the Clinical Data Interchange Standards Con-  
527 sortium (CDISC, [www.cdisc.org](http://www.cdisc.org)) to transform these  
528 CDEs into global standards for collection of PD clinical  
529 trials data. The FDA has recognized that CDISC  
530 standards will be required, beginning in 2017, for reg-  
531 ulatory submissions to facilitate efficient review of  
532 medical products [33]. The CDISC standards will be  
533 updated as new concepts or information important to  
534 PD drug development are identified.

## 535 BARRIERS TO DATA SHARING AND 536 INTEGRATION (SEE TABLE 2)

537 Some of the challenges to data sharing and integra-  
538 tion include lack of patient consent, diversity of data  
539 formats in legacy data, legal concerns, patient privacy  
540 issues, protecting the interests of junior researchers and  
541 PhD students who have contributed to the data collec-  
542 tion, given the current academic reward systems that  
543 may not reward collaboration and sharing of data, and  
544 possible conflict between individual and consortium  
545 study group goals and achievements [6]. However,  
546 data sharing between study groups has become rou-  
547 tine in large scale genetics studies, e.g., the Structural  
548 Genomics Consortium, a public-private partnership  
549 that supports the discovery of new medicines through  
550 open access research (<http://www.thesgc.org>). Dif-  
551 ferent data-sharing models have been successfully  
552 pursued, e.g., a common data repository with con-  
553 trolled access or collaborative access with specific  
554 summary data being released by specific study investi-  
555 gators that are then incorporated into a meta-analytical  
556 framework. It is important to differentiate between  
557 studies that have been designed from their outset to be  
558 open-access and for which participants were clearly  
559 informed, and those that are led by a specific acade-  
560 mic group or company with the potential for external  
561 collaboration. An additional consideration is whether  
562 studies are historical and no further follow-up is envis-  
563 aged (closed) or whether data are from prospective

Table 2  
Issues and potential paths to enable data sharing in PD

Issues/challenges	Possible Solutions
Different formats of data	Implementation of data standards
Country focused initiatives at present	Implementation of global PPPs and consortia
Regulatory landscape –need for biomarkers	Regulatory endorsement of drug development tools
Need for reliable longitudinal data	Funding streams for high quality observational studies
Approval to access varied patient level datasets	Data sharing initiatives through global PPPs and consortia
Cost for establishing and especially maintaining global database	Business case for funding streams from government, non-profit and private sectors
Privacy protection	Adherence to patient privacy regulations and de-identification of patient-level data
Patient consent for sharing	Implementation of broad informed consent documents in line with national guidelines
Incentives for data contributors	Immediate access to integrated databases to further research
Recognition for data contributors	Coauthorship and widespread dissemination
Data access and sharing	Publication strategy and dissemination mechanism
Infrastructure needed for future sustainability	Self sustained consortia based models and infrastructure
Alignment across consortia	Focus on synergistic research areas and regulatory alignment
Define incentives for industry	Derisking of drug development programs through impact on regulatory science
Improved drug safety	Reporting and monitoring of drug adverse effects
Impact on patients and families	Rewarding in advancing the cause for all, altruistic to others and for self
Young investigators to benefit	Accelerate pathway for advanced degrees and training

564 cohorts with future collection rounds where it is essen- 612  
565 tial that no actions are taken that could harm future 613  
566 cohort retention (open). 614

567 A survey of Parkinson's UK members reported that 615  
568 the vast majority of people with PD were eager for 616  
569 their data to be accessed and made available to advance 617  
570 research and therapeutic development. Barriers such as 618  
571 informed consent will be addressed in diverse fora e.g., 619  
572 universal Institutional Review Board (IRB) for neu- 620  
573 rodegeneration, Sage Bionetworks portable consent. 621  
574 Focused attention on issues of data sharing has been the 622  
575 subject of numerous fora. This is clearly an evolving 623  
576 concern in research, industry and regulatory settings.

## 577 **QUANTITATIVE DRUG DEVELOPMENT** 578 **PLATFORMS ENABLE MODELING OF** 579 **DISEASE PROGRESSION**

580 There is growing recognition of the heterogene- 628  
581 ity of PD based on advances in genetics, biomarkers, 629  
582 pathology and diversity of clinical phenotypes. A quan- 630  
583 titative, data-driven understanding of PD progression 631  
584 is key to advancing a personalized medicine approach 632  
585 to successful treatments. Modeling multiple sources of 633  
586 variability in heterogeneous populations could provide 634  
587 a valuable platform to support improved clinical trials, 635  
588 including developing enrichment strategies and sim- 636  
589 ulating different trial designs. Indeed, modeling and 637  
590 simulation tools have been endorsed by the FDA as a 638  
591 means of assessing the value of different trial designs to 639  
592 detect disease modifying effects of treatments in early 640  
593 stage PD [34]. 641

594 CAMD developed and gained regulatory insight and 642  
595 endorsement from both FDA and EMA for such a 643  
596 model-based drug development tool for AD [9]. The 644  
597 CAMD AD clinical trial simulation tool was designed 645  
598 to understand and optimize clinical trial design for mild 646  
599 to moderate AD based on a disease-drug model that 647  
600 incorporates a quantitative understanding of disease 648  
601 progression, drug effects, dropout rates, placebo rates, 649  
602 and sources of variability [9]. Data used to develop 650  
603 this tool came from patient-level data from clinical 651  
604 trials and observational studies, and summary-level 652  
605 data from the literature. The CAMD AD clinical trial 653  
606 database consists of placebo arm data from 6500 AD 654  
607 patients from global clinical trials and can be accessed 655  
608 by researchers for broad applications [33]. Regulatory 656  
609 endorsement of the AD clinical trial simulation tool 657  
610 [35] demonstrates regulators' support for such tools to 658  
611 optimize trial design. 659  
660

612 From a regulatory perspective, data sharing is 613  
614 important for transparency, reproducibility, and iden- 615  
616 tification of new information via analysis with the 617  
618 purpose of answering broad ranging drug development 619  
620 questions. FDA recognizes that analysis of multi- 621  
622 ple clinical and/or pre-clinical data sets provides an 623  
624 opportunity to advance drug development [36]. EMA 625  
626 and FDA are aiming to align on regulatory processes 627  
628 and guidance, where possible. At present, there is no 629  
630 regulatory-defined concept of prodromal PD as a tar- 631  
632 get population for drug approvals yet data in the future 633  
634 may impact this path, as has been the case with AD. 635  
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## 624 **PROPOSED ROADMAP FOR A** 625 **MODEL-BASED CLINICAL TRIAL** 626 **ENRICHMENT PLATFORM FOR** 627 **EARLY PD**

628 Personalized medicine strategies enable treatments 629  
630 that target the right therapy to the right patient at the 631  
632 right time. Enriching trials for subjects likely to show 633  
634 clinical benefit has been endorsed by both the FDA 635  
636 and EMA as a means of increasing the efficiency of 637  
638 those trials [37, 38]. To date, trials in PD have typically 639  
640 recruited all subjects that meet historically defined 641  
642 diagnostic criteria for the disease. Yet at present, diag- 643  
644 nostic criteria for PD are being redefined [24, 39, 40] 645  
646 and there is an urgent need to identify subsets of PD 647  
648 patients with defined disease trajectories. Regulatory 649  
650 endorsement of modeling and simulation tools in a 651  
652 defined context of use, serves to de-risk drug devel- 653  
654 opment in design of trials and streamline the review 654  
655 of new drug candidates through a regulatory endorsed 655  
656 model that applies across therapeutic targets and can be 656  
657 utilized by multiple sponsors. Figure 1 illustrates how 657  
658 available data might be applied to the development 658  
659 of a model-based clinical trial enrichment strategy 659  
660 with regulatory focus. Observational data from both 660  
661 the literature and at least seven individual datasets, 661  
662 including biomarker data from several cohort stud- 662  
663 ies (OPDC, ProBaND, CamPaIGN, and PPMI) and 663  
664 clinical trials will be used to model disease progres- 664  
665 sion similarly to the way ADNI and other data were 665  
666 used to build and then confirm a hypothetical model 666  
667 of disease progression in AD [9, 41, 42 ]. Success 667  
668 of the proposed PD regulatory pathway will require 668  
669 the implementation of PD data standards and the con- 669  
670 struction of a data sharing mechanism of an integrated 670  
671 database to effectively utilize those data. The model 671  
672 will enable simulation of PD progression trajectories 672  
673 of different subpopulations, thus indicating particular 673

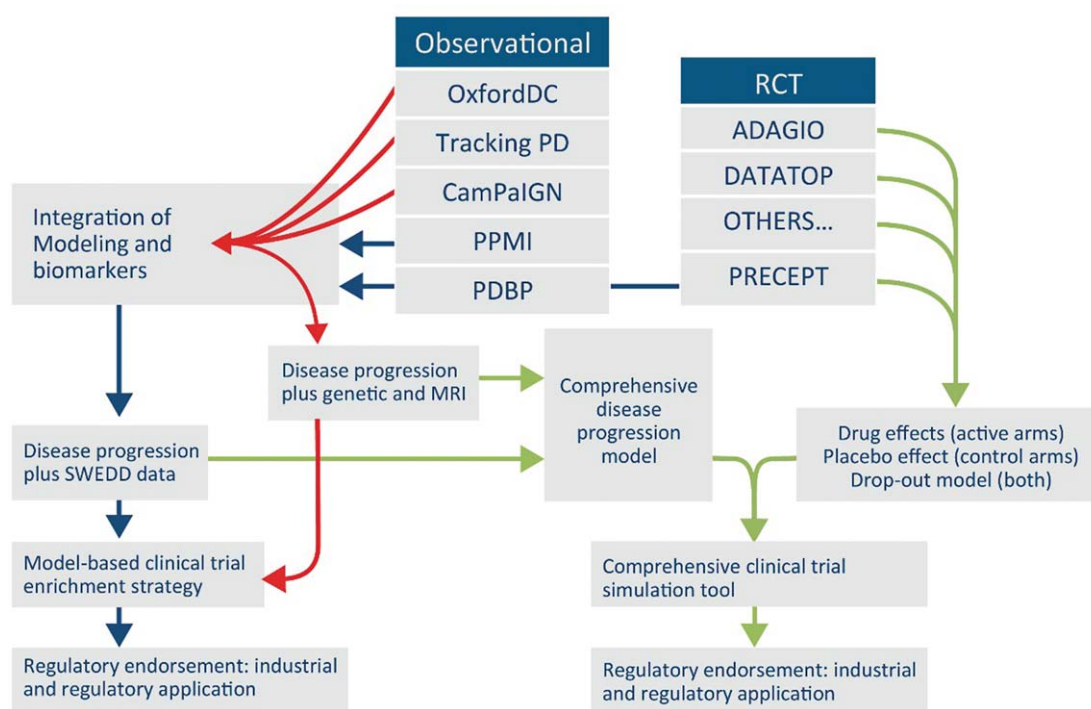


Fig. 1. Proposed Roadmap for building PD drug development tools with existing data. Proposed roadmap outlining a potential future path for integrating global data from PD observational and clinical trials targeting early stages. Integration of diverse data from at least seven independent clinical studies into a unified database will enable a regulatory path for use of biomarkers and quantitative disease progression models that serve to streamline and derisk drug development of new therapies.

endophenotypes, which can then be used to optimize entry criteria for clinical trials, improve the statistical power and increase chances of success. The proposed roadmap follows a path to enable regulatory decisions with broad application to clinical trials. Furthermore, enhanced data-sharing will catalyze novel discoveries in PD research.

## CONCLUSIONS

The need for a global database that integrates large amounts of diverse data was identified as essential to fuel progress in identifying indicators of pre-clinical and early motor PD, which would enable the development of pre-symptomatic disease modifying treatments and improved symptomatic treatments.

The workshop aligned on interim steps toward the eventual goal of building and achieving consensus on precompetitive data sharing as a catalyst to advancing research for PD. One proposal is the regulatory endorsement of new drug development tools, including a clinical trial enrichment platform and a trial modeling and simulation tool. This will require:

- Cataloging existing clinical and observational datasets and the types of data within those datasets that are relevant to the research question(s) being posed.
- Developing and applying data standards that will enable integration of data across multiple datasets, including novel types of data such as that collected from remote monitoring devices and biosensors.
- Maximizing the use of existing data by establishing acceptable guidelines for data sharing.
- Supporting the further development of new biomarkers and assessment tools that will provide a better understanding of the phenotypic variations of PD.
- Promote the implementation of new technologies such as wearable devices into PD for enabling personalized medicine.
- Promoting further collaboration across all stakeholders.

## ACKNOWLEDGMENTS

The authors thank the following colleagues for their participation and contributions to the success of the

meeting: Sue Dubman (UCSF), Karl Kiebertz (Univ Rochester), Madeline Kelly (GSK), Katie Le Blond, Arthur Roach, and Bunia Gorelick (Parkinson's UK), Vincent Low (UCB), Annette Schrag (UCL), Richard Moscicki (FDA), Jan Johannessen (FDA), Nancy B Sager (FDA), and Vikram Sinha (FDA).

*Disclaimer:* The views expressed in this article are those of the author and do not necessarily reflect the official views of FDA. The manuscript meets all requirements for authorship as per The International Committee of Medical Journal Editors (ICMJE) guidelines. The roadmap outlined in Fig. 1 and the summary of workshop is a consensual agreement of the authors.

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